



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Ralph H. Johnson

) Art Unit  
) 2828

# MECHANICAL STABILIZATION OF LATTICE MISMATCHED QUANTUM WELLS

022913

Examiner: Phillip Nguyen

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Declarant, Peter F. Malen, Jr., represents that he is the Attorney of Record for Finisar Corporation, a corporation of the State of Delaware, having a principal place of business at 1308 Moffett Park Drive, Sunnyvale, CA 94089, and that he is authorized to make this Declaration and execute this Terminal Disclaimer on behalf of Finisar Corporation. Declarant further represents that Finisar Corporation is the assignee of the entire interest, as shown by the Assignment recorded at reel 014484, frame 0171 (a copy of which is attached hereto as Exhibit A) in the records of the U.S. Patent and Trademark Office, of the above-identified application.

**130.00 OP**

and also of the parent application, now U.S. Patent No. 6,603,784 (as also shown by the recorded assignment at Exhibit A). Declarant hereby further certifies that the evidentiary document at Exhibit A has been reviewed by him/her, and to the best of the Declarant's knowledge and belief, title is in the Assignee seeking to take action.

The Assignee hereby disclaims the terminal part of any patent granted on the above-identified application, which would extend beyond the expiration date of the full statutory term of said U.S. Patent No. 6,603,784 and hereby agrees that any patent so granted on the above-identified application shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to said U.S. Patent No. 6,603,784, this agreement to run with any patent granted on the above-identified application and to be binding upon the grantee, its successors or assigns.

The Assignee does not disclaim any terminal part of any patent granted on the above-identified application that would extend beyond the term of said U.S. Patent No. 6,603,784 in the event that said U.S. Patent No. 6,603,784 later: (a) expires for failure to pay a maintenance fee, is held unenforceable, is found invalid, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321(a); (b) has all claims cancelled by a reexamination certificate; or (c) is otherwise terminated prior to the expiration of its statutory term as presently shortened by any terminal disclaimer, except for the separation of legal title stated above.

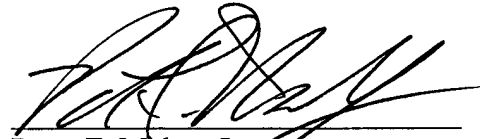
Declarant further declares that all statements made herein of Declarant's own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of

the United States Code, and that such willful, false statements may jeopardize the validity of the application or any patent issuing thereon.

DATED this 17<sup>th</sup> day of October, 2005.

Finisar Corporation

By:



Peter F. Malen, Jr.  
Attorney for Applicant  
Registration No. 45,576  
Customer No. 022913

ELM/tat  
TAT0000001496V001

# **EXHIBIT A**



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



APRIL 08, 2004

PTAS

\*700074774\*

R. BURNS ISRAELSEN  
1000 EAGLE GATE TOWER  
60 E. SOUTH TEMPLE  
SALT LAKE CITY, UT 84111

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/26/2004

REEL/FRAME: 014484/0171

NUMBER OF PAGES: 39

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

HONEYWELL INTERNATIONAL, INC.

DOC DATE: 03/01/2004

## ASSIGNEE:

FINISAR CORPORATION  
1308 MOFFETT PARK DRIVE  
SUNNYVALE, CALIFORNIA 94089

SERIAL NUMBER: 08775330

PATENT NUMBER:

FILING DATE: 12/31/1996

ISSUE DATE:

SERIAL NUMBER: 10136817

PATENT NUMBER:

FILING DATE: 04/30/2002

ISSUE DATE:

SERIAL NUMBER: 09481627

PATENT NUMBER:

FILING DATE: 01/12/2000

ISSUE DATE:

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SERIAL NUMBER: 08795029  
PATENT NUMBER:FILING DATE: 02/04/1997  
ISSUE DATE:SERIAL NUMBER: 08814458  
PATENT NUMBER:FILING DATE: 03/10/1997  
ISSUE DATE:SERIAL NUMBER: 10350840  
PATENT NUMBER:FILING DATE: 01/24/2003  
ISSUE DATE:SERIAL NUMBER: 10147136  
PATENT NUMBER: 6678300FILING DATE: 05/13/2002  
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ISSUE DATE:SERIAL NUMBER: 10284863  
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ISSUE DATE:SERIAL NUMBER: 09547538  
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PATENT NUMBER:FILING DATE: 06/29/1999  
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ISSUE DATE:

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ISSUE DATE: 02/07/1995SERIAL NUMBER: 07857877  
PATENT NUMBER: 5256596FILING DATE: 03/26/1992  
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SERIAL NUMBER: 07858288  
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SERIAL NUMBER: 08407062

FILING DATE: 03/17/1995



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ISSUE DATE: 09/29/1998

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ISSUE DATE: 04/27/1999

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SERIAL NUMBER: 08839112

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ISSUE DATE: 05/16/2000

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ISSUE DATE: 05/30/2000

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PATENT NUMBER: 6069991

FILING DATE: 08/14/1998  
ISSUE DATE: 05/30/2000

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PATENT NUMBER: 6088498

FILING DATE: 08/14/1998  
ISSUE DATE: 07/11/2000

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PATENT NUMBER: 6404960

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ISSUE DATE: 06/11/2002

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PATENT NUMBER: 6078601

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ISSUE DATE: 06/20/2000

SERIAL NUMBER: 09119089  
PATENT NUMBER: 6081638

FILING DATE: 07/20/1998  
ISSUE DATE: 06/27/2000

SERIAL NUMBER: 08664039

FILING DATE: 06/13/1996

OPR/ASSIGNMENTS 4/8/04 8:59 PAGE 9/18 RightFAX  
PATENT NUMBER: 608626 ISSUE DATE: C 11/2000  
SERIAL NUMBER: 09052643 FILING DATE: 03/31/1998  
PATENT NUMBER: 6095697 ISSUE DATE: 08/01/2000

014484/0171 PAGE 8

SERIAL NUMBER: 08995690	FILING DATE: 12/22/1997
PATENT NUMBER: 6194789	ISSUE DATE: 02/27/2001
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PATENT NUMBER: 6459719	ISSUE DATE: 10/01/2002
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PATENT NUMBER: 6522680	ISSUE DATE: 02/18/2003
SERIAL NUMBER: 09387424	FILING DATE: 08/31/1999
PATENT NUMBER: 6411638	ISSUE DATE: 06/25/2002
SERIAL NUMBER: 09607048	FILING DATE: 06/30/2000
PATENT NUMBER: 6465774	ISSUE DATE: 10/15/2002
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PATENT NUMBER: 6558973	ISSUE DATE: 05/06/2003
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PATENT NUMBER: 6588949	ISSUE DATE: 07/08/2003
SERIAL NUMBER: 09217223	FILING DATE: 12/21/1998
PATENT NUMBER: 6603784	ISSUE DATE: 08/05/2003
SERIAL NUMBER: 09975299	FILING DATE: 10/10/2001
PATENT NUMBER: 6606199	ISSUE DATE: 08/12/2003

JOANN STEWART, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

MAR-26-04 FRI 04:32 PM

KMAN UNDECEP

FAX NO. 1801 1707

P. 05

03/26/2004

Docket No.: 15436.0

700074774

FORM PTO-1585 (Modified)

(Rev. 03-01)

OMB No. 0561-0027 (exp 04/1/2002)

POM/REV03

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

## PATENTS ONLY

Tab settings → → → ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**HONEYWELL INTERNATIONAL, INC.**  
 101 Columbia Road  
 Morristown, NJ 07962

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: March 1, 2004

## 2. Name and address of receiving party(ies):

Name: FINISAR CORPORATION

Internal Address: \_\_\_\_\_

Street Address: 1308 Moffett Park DriveCity: SunnyvaleState: CA ZIP: 94089Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

See Attached  
Exhibit BSee Attached  
Exhibit BAdditional numbers attached? ☒ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: R. Burns Israelsen

Internal Address: \_\_\_\_\_

Street Address: 1000 Eagle Gate Tower60 East South TempleCity: Salt Lake City State: UT ZIP: 84111

## 6. Total number of applications and patents involved:

1237. Total fee (37 CFR 3.41):.....\$ 4,960.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

23-3178

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

R. Burns Israelsen

Name of Person Signing

R. Burns Israelsen

Signature

March 26, 2004

Date

Total number of pages including cover sheet, attachments, and document: 37Mail documents to be recorded with required cover sheet information to:  
Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office, P.O. Box 1460, Alexandria, VA 22313-1460

Patents and Patent Applications (Acquired from Motorola)

1	USA	GRANTED	768895	09-Sep-91	5184949	17-Nov-92	VERTICAL CAVITY SURFACE EMITTING LASER WITH LATERAL INJECTION
1	JAPA	GRANTED	4-262724	07-Sep-92	3339706	16-Aug-02	SEMICONDUCTOR LASER AND METHOD OF FABRICATING
2	USA	GRANTED	08/124066	21-Sep-93	6386120	07-Feb-98	VCSEL WITH UNSTABLE RESONATOR
3	USA	GRANTED	857877	28-Mar-92	6286896	26-Oct-93	TOP EMITTING VCSEL WITH IMPLANT
3	JAPA	GRANTED	5-87768	24-Mar-93	3308181	10-May-02	TOP EMITTING VCSEL WITH IMPLANT
4	USA	GRANTED	858288	28-Mar-92	5288318	02-Nov-93	PATTERNED MIRROR VERTICAL CAVITY SURFACE EMITTING LASER
5	USA	GRANTED	857856	26-Mar-92	5274655	28-Dec-92	TEMPERATURE INSENSITIVE VERTICAL CAVITY SURFACE EMITTING LASER
6	USA	GRANTED	08/271634	07-Jul-94	6446782	29-Aug-95	VCSEL WITH CURRENT BLOCKING LAYER OFFSET
7	USA	GRANTED	922719	31-Jul-92	5293392	08-Mar-94	TOP EMITTING VCSEL WITH ETCH & TOP LAYER
8	USA	GRANTED	928139	08-Aug-92	6317587	31-May-94	VCSEL WITH SEPARATE CONTROL OF CURRENT DISTRIBUTION AND OPTICAL MODE
9	USA	GRANTED	08/020959	22-Feb-93	5337327	09-Aug-94	VCSEL WITH LATERAL INDEX GUIDE
9	USA	GRANTED	08/218402	28-Mar-94	6367543	07-Feb-95	METHOD OF MAKING A VCSEL WITH LATERAL INDEX GUIDE
9	NETH	GRANTED	94104719.3	24-Mar-94	EP0674367	29-Dec-97	VCSEL WITH LATERAL INDEX GUIDE
9	GERM	GRANTED	94104719.3	24-Mar-94	P80407688.3	29-Dec-97	VCSEL WITH LATERAL INDEX GUIDE
9	GBRI	GRANTED	94104719.3	24-Mar-94	EP0674367	29-Dec-97	VCSEL WITH LATERAL INDEX GUIDE
9	FRAN	GRANTED	94104719.3	24-Mar-94	EP0674367	29-Dec-97	VCSEL WITH LATERAL INDEX GUIDE
10	USA	GRANTED	028015	08-Mar-93	5351257	27-Sep-94	VCSEL WITH VERTICAL OFFSET OPERATING REGION PROVIDING A LATERAL WAVEGUIDE AND CURRENT LIMITING AND METHOD OF FABRICATION
10	JAPA	GRANTED	8-40594	16-Feb-94	3027901	04-Feb-00	VCSEL WITH LATERAL WAVEGUIDE AND CURRENT LIMITING
11	USA	GRANTED	08/069812	01-Jun-93	5359618	25-Oct-94	HIGH EFFICIENCY VCSEL AND METHOD OF FABRICATION
12	USA	GRANTED	08/076834	14-Jun-93	6156582	05-Dec-00	METHOD OF FABRICATING TOP EMITTING RIDGE VCSEL WITH SELF-ALIGNED CONTACT AND SIDEWALL REFLECTOR
12	JAPA	FILED	8-147025	07-Jun-94			METHOD OF FABRICATING TOP EMITTING RIDGE VCSEL WITH SELF-ALIGNED CONTACT AND SIDEWALL REFLECTOR
13	USA	GRANTED	08/151834	15-Nov-93	5422901	06-Jun-98	A SEMICONDUCTOR DEVICE WITH HIGH HEAT CONDUCTIVITY
13	USA	GRANTED	08/443609	18-May-95	5538918	23-Jul-98	A SEMICONDUCTOR DEVICE WITH HIGH HEAT CONDUCTIVITY
13	TAIW	GRANTED	83100217	05-Oct-94	M-083508	21-Jan-97	A SEMICONDUCTOR DEVICE WITH HIGH HEAT CONDUCTIVITY
13	GERM	GRANTED	94117496.3	07-Nov-94	59412988.2	02-Sep-98	A SEMICONDUCTOR DEVICE WITH HIGH HEAT CONDUCTIVITY
13	GBRI	GRANTED	94117496.3	07-Nov-94	EP0653823	02-Sep-98	A SEMICONDUCTOR DEVICE WITH HIGH HEAT CONDUCTIVITY

13	FRAN	GRANTED	84117496.3	07-Nov-94	EP0853823	02-Sep-96	A SEMICONDUCTOR DEVICE WITH HIGH HEAT CONDUCTIVITY
14	USA	GRANTED	08/210851	21-Mar-94	5400352	21-Mar-96	SEMICONDUCTOR LASER AND METHOD THEREFOR
14	TAW	GRANTED	84100220	11-Jan-85	NI-085158	18-Jul-97	SEMICONDUCTOR LASER AND METHOD THEREFOR
14	JAPA	FILED	7-85279	01-Mar-95			SEMICONDUCTOR LASER AND METHOD THEREFOR
14	GERM	GRANTED	95103479.2	10-Mar-95	99809962.0	02-Jun-98	SEMICONDUCTOR LASER AND METHOD THEREFOR
14	GBRI	GRANTED	95103479.2	10-Mar-95	EP0574371	02-Jun-98	SEMICONDUCTOR LASER AND METHOD THEREFOR
14	FRAN	GRANTED	95103479.2	10-Mar-95	EP0574371	02-Jun-98	SEMICONDUCTOR LASER AND METHOD THEREFOR
15	USA	GRANTED	08/529488	18-Sep-98	5547896	20-Aug-98	METHOD FOR P-DOPING OF A LIGHT-EMITTING DEVICE
15	KORS	GRANTED	10/1995-0019556	05-Jul-95	348532	16-Jul-02	METHOD FOR P-DOPING OF A LIGHT-EMITTING DEVICE
15	JAPA	FILED	7-188756	04-Jul-95			METHOD FOR P-DOPING OF A LIGHT-EMITTING DEVICE
16	USA	GRANTED	08/281502	15-Jun-94	5432809	11-Jul-96	VCSEL WITH A1-FREE CAVITY REGION
16	TAW	GRANTED	84106244	24-May-85	N8-075480	21-Nov-85	VCSEL WITH A1-FREE CAVITY REGION
16	KORS	GRANTED	10-1995-0015822	15-Jun-95	381885	15-Apr-03	VCSEL WITH A1-FREE CAVITY REGION
16	JAPA	FILED	7-167888	12-Jun-95			VCSEL WITH A1-FREE CAVITY REGION
17	USA	GRANTED	08/281272	15-Jun-94	5557628	17-Sep-96	PATTERNED MIRROR VCSEL WITH ADJUSTABLE SELECTIVE ETCH REGION
17	TAW	GRANTED	84106207	24-May-85	N8-075479	15-Apr-86	PATTERNED MIRROR VCSEL WITH ADJUSTABLE SELECTIVE ETCH REGION
17	KORS	GRANTED	10-1995-0015823	15-Jun-95	341946	12-Jun-02	PATTERNED MIRROR VCSEL WITH ADJUSTABLE SELECTIVE ETCH REGION
17	JAPA	FILED	7-167887	12-Jun-96			A PATTERNED MIRROR VCSEL WITH ADJUSTABLE SELECTIVE ETCH REGION
18	USA	GRANTED	08/384054	08-Feb-95	5661075	26-Aug-97	VCSEL WITH PASSIVATION
19	USA	GRANTED	08/407062	17-Mar-95	5482891	09-Jan-98	VCSEL WITH AN INTEGRATED HEAT SINK AND METHOD OF MAKING
20	USA	GRANTED	08/566388	01-Dec-95	5831295	03-Nov-98	CURRENT CONFINEMENT VIA DEFECT GENERATOR AND HETERO-INTERFACE INTERACTION
21	USA	GRANTED	08/346558	29-Nov-94	5468656	21-Nov-95	METHOD FOR MAKING A VCSEL
21	TAW	GRANTED	84109918	21-Sep-86	NI-101440	01-Mar-89	METHOD FOR MAKING A VCSEL
21	NETH	GRANTED	95117900.1	14-Nov-95	EP0715378	20-Oct-99	METHOD FOR MAKING A VCSEL
21	JAPA	FILED	7-319810	15-Nov-95			METHOD FOR MAKING A VCSEL
21	GERM	GRANTED	95117900.1	14-Nov-95	99512870.1	20-Oct-99	METHOD FOR MAKING A VCSEL
21	GBRI	GRANTED	95117900.1	14-Nov-95	EP0715378	20-Oct-99	METHOD FOR MAKING A VCSEL
21	FRAN	GRANTED	95117900.1	14-Nov-95	EP0715378	20-Oct-99	METHOD FOR MAKING A VCSEL
22	USA	GRANTED	08/407064	17-Mar-95	5354228	05-Aug-97	VCSEL HAVING A SELF-ALIGNED HEAT SINK AND METHOD OF MAKING
23	USA	GRANTED	08/882473	17-Jul-98	5719893	17-Feb-98	PASSIVATED VERTICAL CAVITY SURFACE EMITTING LASER
23	JAPA	FILED	8-202435	11-Jul-97			PASSIVATED VERTICAL CAVITY SURFACE EMITTING LASER
24	USA	GRANTED	08/618418	15-Mar-96	5832017	03-Nov-98	RELIABLE NEAR IR VCSEL



25	USA	GRANTED	08/692003	01-Jul-98	5703892	30-Dec-97	METHOD OF MODE DETECTION AND CONTROL IN SEMICONDUCTOR LASERS
25	KORS	FILED	10-1997-0027673	28-Jun-97			METHOD OF MODE DETECTION AND CONTROL IN SEMICONDUCTOR LASERS
25	JAPA	FILED	9-191819	01-Jul-97			METHOD OF MODE DETECTION AND CONTROL IN SEMICONDUCTOR LASERS
28	USA	GRANTED	08/983624	04-Nov-97	5995531	30-Nov-99	VCSEL HAVING POLARIZATION CONTROL
27	USA	GRANTED	08/782475	09-Dec-96	5849086	08-Dec-98	ELECTRICALLY CONFINED VCSEL
28	USA	GRANTED	08/782489	09-Dec-96	5732103	24-Mar-98	LONG WAVELENGTH VCSEL
29	USA	GRANTED	08/782490	09-Dec-96	5853912	16-Mar-98	LONG WAVELENGTH VCSEL
30	USA	GRANTED	08/795280	10-Feb-97	5914973	22-Jun-99	VERTICAL CAVITY SURFACE EMITTING LASER FOR HIGH POWER OPERATION AND METHOD OF FABRICATION
30	TAIW	GRANTED	87101797	10-Mar-98	NI-104797	01-Jul-98	VERTICAL CAVITY SURFACE EMITTING LASER FOR HIGH POWER OPERATION AND METHOD OF FABRICATION
30	JAPA	FILED	10-46204	10-Feb-98			VERTICAL CAVITY SURFACE EMITTING LASER FOR HIGH POWER OPERATION AND METHOD OF FABRICATION
30	GERM	GRANTED	98102181.9	09-Feb-98	89813655.1	23-Apr-03	VERTICAL CAVITY SURFACE EMITTING LASER FOR HIGH POWER OPERATION AND METHOD OF FABRICATION
30	GBRI	GRANTED	98102181.9	09-Feb-98	EP0860916	23-Apr-03	VERTICAL CAVITY SURFACE EMITTING LASER FOR HIGH POWER OPERATION AND METHOD OF FABRICATION
30	FRAN	GRANTED	98102181.9	09-Feb-98	EP0860915	23-Apr-03	VERTICAL CAVITY SURFACE EMITTING LASER FOR HIGH POWER OPERATION AND METHOD OF FABRICATION
30	EPC	GRANTED	98102181.9	09-Feb-98	EP0860915	23-Apr-03	VERTICAL CAVITY SURFACE EMITTING LASER FOR HIGH POWER OPERATION AND METHOD OF FABRICATION
31	USA	GRANTED	08/858572	28-Oct-97	6026111	15-Feb-00	VERTICAL CAVITY SURFACE EMITTING LASER DEVICE HAVING AN EXTENDED CAVITY
32	USA	GRANTED	08/734569	21-Oct-96	5764671	09-Jun-98	VCSEL WITH SELECTIVE OXIDE TRANSITION REGIONS
33	USA	GRANTED	08/743288	04-Nov-96	5838705	17-Nov-98	LIGHT EMITTING DEVICE HAVING A DEFECT INHIBITION LAYER
34	USA	GRANTED	08/785261	10-Feb-97	5835521	10-Nov-98	LONG WAVELENGTH LIGHT EMITTING VERTICAL CAVITY SURFACE EMITTING LASER AND METHOD OF FABRICATION
35	USA	GRANTED	09/047954	20-Mar-98	6121088	19-Sep-00	LONG WAVELENGTH LIGHT EMITTING VERTICAL CAVITY SURFACE EMITTING LASER AND METHOD OF FABRICATION
34	TAIW	GRANTED	87101798	10-Mar-98	NI-110433	11-Jan-00	LONG WAVELENGTH LIGHT EMITTING VERTICAL CAVITY SURFACE EMITTING LASER AND METHOD OF FABRICATION
34	JAPA	FILED	10-48205	10-Feb-98			LONG WAVELENGTH LIGHT EMITTING VERTICAL CAVITY SURFACE EMITTING LASER AND METHOD OF FABRICATION
34	GERM	GRANTED	98102210.6	09-Feb-98	89809482.4	20-Nov-02	LONG WAVELENGTH LIGHT EMITTING VERTICAL CAVITY SURFACE EMITTING LASER AND METHOD OF FABRICATION

34	GBR	GRANTED	98102210.6	09-Feb-99	EP0860913	20-Nov-02	LONG WAVELENGTH LIGHT EMITTING VERTICAL CAVITY SURFACE EMITTING LASER AND METHOD OF FABRICATION
34	FRAN	GRANTED	98102210.6	05-Feb-98	EP0860913	20-Nov-02	LONG WAVELENGTH LIGHT EMITTING VERTICAL CAVITY SURFACE EMITTING LASER AND METHOD OF FABRICATION
35	USA	GRANTED	08/806269	25-Feb-97	5815524	29-Sep-99	WAVELENGTH VCSEL
35	TAW	GRANTED	87102717	10-Mar-98	NI-110918	01-Feb-00	WAVELENGTH VCSEL
35	JAPA	FILED	10-58804	24-Feb-98			LONG WAVELENGTH VCSEL
35	EPC	FILED	98102770.9	18-Feb-98			WAVELENGTH VCSEL
36	USA	GRANTED	08/813399	10-Mar-97	5698722	27-Apr-98	DUAL WAVELENGTH MONOLITHICALLY INTEGRATED VERTICAL CAVITY SURFACE EMITTING LASERS AND METHOD OF FABRICATION
36	TAW	GRANTED	87103512	10-Mar-98	NI-108881	11-Nov-99	DUAL WAVELENGTH MONOLITHICALLY INTEGRATED VERTICAL CAVITY SURFACE EMITTING LASERS AND METHOD OF FABRICATION
36	JAPA	FILED	10-67805	02-Mar-98			DUAL WAVELENGTH MONOLITHICALLY INTEGRATED VERTICAL CAVITY SURFACE EMITTING LASERS AND METHOD OF FABRICATION
37	USA	GRANTED	08/839112	23-Apr-97	5843358	24-Aug-99	LONG WAVELENGTH VCSEL
37	TAW	GRANTED	87106110	21-Apr-98	NI-116848	01-Jul-00	LONG WAVELENGTH VCSEL
37	JAPA	FILED	10-126809	21-Apr-98			LONG WAVELENGTH VCSEL
37	GERM	GRANTED	98106843.0	15-Apr-98	59811553.8	28-Feb-03	LONG WAVELENGTH VCSEL
37	GBR	GRANTED	98106843.0	15-Apr-98	EP0874428	26-Feb-03	LONG WAVELENGTH VCSEL
37	FRAN	GRANTED	98106843.0	15-Apr-98	EP0874428	26-Feb-03	LONG WAVELENGTH VCSEL
37	EPC	GRANTED	98106843.0	15-Apr-98	EP0874428	26-Feb-03	LONG WAVELENGTH VCSEL
38	USA	GRANTED	08/990267	15-Dec-97	6016328	18-Jan-00	METHOD FOR BIASING SEMICONDUCTOR LASERS
39	USA	GRANTED	09/034279	04-Mar-99	6160830	12-Dec-00	SEMICONDUCTOR LASER DEVICE AND METHOD OF MANUFACTURE
39	USA	GRANTED	09/641003	17-Aug-00	6356571	12-Mar-02	SEMICONDUCTOR LASER DEVICE AND METHOD OF MANUFACTURE
40	USA	GRANTED	08/903870	31-Jul-97	5903586	11-May-99	LONG WAVELENGTH VERTICAL CAVITY SURFACE EMITTING LASER
41	USA	GRANTED	08/904189	31-Jul-97	5978398	02-Nov-99	LONG WAVELENGTH VERTICAL CAVITY SURFACE EMITTING LASER
42	USA	GRANTED	08/912940	15-Aug-97	5856363	21-Sep-99	LONG WAVELENGTH VERTICAL CAVITY SURFACE EMITTING LASER WITH OXIDATION LAYERS AND METHOD OF FABRICATION
43	USA	GRANTED	08/929516	15-Sep-97	6061380	09-May-00	VERTICAL CAVITY SURFACE EMITTING LASER WITH DOPED ACTIVE REGION AND METHOD OF FABRICATION
44	USA	GRANTED	08/929377	15-Sep-97	6021148	01-Feb-00	VERTICAL CAVITY SURFACE EMITTING LASER FOR HIGH POWER SINGLE MODE OPERATION AND METHOD OF FABRICATION
45	USA	GRANTED	08/983823	04-Nov-97	6021147	01-Feb-00	VERTICAL CAVITY SURFACE EMITTING LASER FOR HIGH POWER SINGLE MODE OPERATION AND METHOD OF FABRICATION

**Exhibit B****Patents and Patent Applications Subject to Assignment from Honeywell International, Inc. to Finisar Corporation**

15438.432.4	08/775330	31-Dec-88		
15438.432.4.4	10/136817	30-Apr-02		
15438.432.6.1	08/481827	12-Jan-00		
15438.432.7.1	08/795029	14-Feb-87		
15438.432.7.2	08/814468	10-Mar-87		
15438.433.3.3	10/350840	24-Jan-03		
15438.433.4.1	10/147136	13-May-02		
15438.433.6.1	10/413186	14-Apr-03		
15438.434.1.1	10/284863	31-Oct-02		
15438.434.1.2	09/547638	12-Apr-00		
15438.434.2.1	10/444798	22-May-03		
15438.434.3.1	10/634558	4-Aug-03		
15438.434.5	09/342801	29-Jun-99		
15438.434.6	09/577034	23-May-00		
15438.434.7	09/652656	31-Aug-00		
15438.434.7.1	10/427387	1-May-03		
15438.435.1	09/724820	28-Nov-00		
15438.435.1.1	10/617290	10-Jul-03		
15438.435.1.2	10/617892	11-Jul-03		
15438.435.2	09/751422	29-Dec-00		
15438.435.3	09/751423	29-Dec-00		
15438.435.4	09/803821	12-Mar-01		
15438.435.5	09/881187	14-Jun-01		
15438.435.6	60/311916	13-Aug-01		
15438.435.7	09/970073	20-Oct-01		
15438.436.1	10/006103	6-Dec-01		
15438.436.2	10/026018	20-Dec-01		
15438.436.3	10/026019	20-Dec-01		
15438.436.4	10/026055	20-Dec-01		
15438.436.5	10/026020	27-Dec-01		
15438.436.6	10/026044	27-Dec-01		
15438.436.7	10/028288	28-Dec-01		
15438.436.8	10/028303	28-Dec-01		
15438.437.1	10/028435	28-Dec-02		
15438.437.2	10/028436	28-Dec-02		
15438.437.3	10/028437	28-Dec-01		
15438.437.4	10/028438	28-Dec-01		
15438.437.5	10/028439	28-Dec-01		
15438.437.6	10/037010	31-Dec-01		
15438.437.7	10/037013	31-Dec-01		
15438.437.7.1	10/669220	24-Sep-03		
15438.437.8	10/078422	21-Feb-02		
15438.438.1	10/078473	21-Feb-02		
15438.438.2	10/078474	21-Feb-02		
15438.438.3	10/121490	12-Apr-02		
15438.438.4	10/186324	24-May-02		
15438.438.5	10/182928	4-Jun-02		
15438.438.6	10/163057	4-Jun-02		
15438.438.7	10/163440	4-Jun-02		

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15436.438.5	10/218425	14-Aug-02		
15436.438.8	10/232382	3-Sep-02		
15436.438.1	10/233112	3-Sep-02		
15436.438.2	10/233823	4-Sep-02		
15436.438.3	10/283381	28-Oct-02		
15436.438.4	10/283288	30-Oct-02		
15436.438.5	10/283311	30-Oct-02		
15436.438.6	10/283836	30-Oct-02		
15436.438.7	10/282578	11-Nov-02		
15436.438.8	10/301380	21-Nov-02		
15436.438.9	10/308308	3-Dec-02		
15436.440.1	10/318355	11-Dec-02		
15436.440.10	10/446123	6-Jun-03		
15436.440.11	10/808104	25-Jun-03		
15436.440.12	10/807829	27-Jun-03		
15436.440.13	10/807758	27-Jun-03		
15436.440.14	10/807887	27-Jun-03		
15436.440.2	10/323889	20-Dec-02		
15436.440.3	10/323923	20-Dec-02		
15436.440.4	10/347789	22-Jan-03		
15436.440.5	10/351710	27-Jan-03		
15436.440.6	10/352293	27-Jan-04		
15436.440.7	10/430941	7-May-03		
15436.440.8	10/436069	13-May-03		
15436.440.9	10/453307	3-Jun-03		
15436.441.1	10/807982	30-Jun-03		
15436.441.10	10/897028	31-Oct-03		
15436.441.11	10/708806	14-Nov-03		
15436.441.2	10/810268	30-Jun-03		
15436.441.3	10/812880	2-Jul-03		
15436.441.4	10/811882	30-Jul-03		
15436.441.5	10/820489	16-Jul-03		
15436.441.6	10/820512	16-Jul-03		
15436.441.7	10/822042	17-Jan-03		
15436.441.8	10/823351	18-Jul-03		
15436.441.9	10/897880	29-Oct-03		
15436.431.1	07/818785	17-Jul-92	5231888	27-Jul-93
15436.431.2	07/908270	6-Jul-92	5264715	23-Nov-98
15436.431.3	08/175018	28-Dec-93	5475701	
15436.431.4	08/478985	7-Jun-96	5574738	12-Nov-98
15436.431.5	08/739471	28-Oct-96	5737348	7-Apr-98
15436.431.6	08/883277	18-Jul-96	5745515	28-Apr-98
15436.431.7	08/871895	28-Jun-96	5757674	9-Jun-98
15436.431.8	08/734403	16-Oct-96	5774487	30-Jun-98
15436.431.9	08/887701	26-Jul-96	5799030	25-Aug-98
15436.431.10	08/743367	4-Nov-96	5806318	8-Sep-98
15436.431.11	08/888895	28-Jul-96	5812518	22-Sep-98
15436.431.12	08/743389	4-Nov-96	5841915	24-Nov-98
15436.431.13	08/843116	28-Apr-97	5893722	13-Apr-99

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15436.431.14	08/812620	6-Mar-97	6903558	11-May-99
15436.431.15	08/674230	28-Jun-96	5940422	17-Aug-99
15436.431.16	08/736803	26-Oct-96	6878401	2-Nov-99
15436.432.1	08/872534	11-Jun-97	6056262	25-Apr-00
15436.432.2	08/989734	12-Dec-97	6054683	16-May-00
15436.432.3	08/001894	31-Dec-97	6069908	30-May-00
15436.432.4.1	09/134229	14-Aug-98	6069991	30-May-00
15436.432.4.2	09/135412	14-Aug-98	6088498	11-Jul-00
15436.432.4.3	09/288191	16-Mar-99	6404980	11-Jun-02
15436.432.5	08/813761	7-Mar-97	6078801	20-Jun-00
15436.432.6	09/119080	20-Jul-98	6081638	27-Jun-00
15436.432.7	08/664039	13-Jun-96	6088263	11-Jul-00
15436.432.8	09/062643	31-Mar-98	6086687	1-Aug-00
15436.433.1	08/895690	22-Dec-97	6194789	27-Feb-01
15436.433.2	09/119373	20-Jul-98	6205274	20-Mar-01
15436.433.3	08/989731	12-Dec-97	6256333	3-Jul-01
15436.433.3.1	09/819029	30-Nov-00	6459719	1-Oct-02
15436.433.3.2	09/819024	30-Nov-00	6522680	18-Feb-03
15436.433.4	09/387424	31-Aug-98	6411638	25-Jun-02
15436.433.5	09/607048	30-Jun-00	6485774	15-Oct-02
15436.433.6	09/766797	22-Jan-01	6558973	6-May-03
15436.434.1	08/724249	28-Nov-00	6585776	1-Jul-03
15436.434.2	09/224210	30-Dec-98	6588949	8-Jul-03
15436.434.3	09/217223	21-Dec-98	6603784	5-Aug-03
15436.434.4	09/975299	10-Oct-01	6606199	12-Aug-03

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